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Attorney Docket No. 0920.0033C

Technology Center 2100

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of Baer, et al.

Serial No.: 09/489,570

Examiner: Hung Q. Pham

Filed: January 21, 2000

Art Unit: 2172

For: Method and System for Storing Hierarchical Content Objects  
in a Data Repository

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §1.97(b)**

Pursuant to the duty imposed by 37 C.F.R. §1.56 to disclose information which may be material to the patentability of the above-identified patent application, the Applicant(s) would like to direct the Examiner's attention to the documents listed on the enclosed Information Disclosure Citation Form (PTO/SB/08). In accordance with this duty of disclosure, Applicant(s) hereby submits the following information in conformance with 37 C.F.R. §§1.97 and 1.98.

- ☒ Pursuant to 37 C.F.R. §1.98, a copy of each document cited in the attached Form PTO/SB/08 is enclosed.
- ☐ The subject Information Disclosure Statement is being filed without copies of the listed U.S. Patents and/or U.S. patent application publications pursuant to the waiver of the requirement under 37 C.F.R. §1.98(a)(2)(i) for applications filed after June 30, 2003. Copies of the listed foreign patent documents and/or non-patent literature are enclosed herewith.
- ☐ No copies of the publications listed on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98(d) because the publications were previously cited by or submitted to the Office in prior Application Serial No. \_\_\_\_\_ to which the above-identified application claims priority under 35 U.S.C. §120.

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- ☐ Publication(s) \_\_\_\_\_ listed on the attached Form PTO/SB/08A were cited in a foreign search or examination report corresponding to \_\_\_\_\_ application serial no. \_\_\_\_\_ and mailed on \_\_\_\_\_.
- ☐ Enclosed is a copy of a non-English publication(s) \_\_\_\_\_. Pursuant to §609 of the M.P.E.P., Applicant submits the attached foreign search or examination report, which cites such non-English language publication(s).
- ☐ Enclosed is a copy of a non-English publication(s) \_\_\_\_\_. English language publication \_\_\_\_\_ (copy enclosed) claims priority from this non-English publication.
- ☐ Enclosed is an explanation of non-English publication(s) \_\_\_\_\_ for which an English translation is not available.
- ☐ Enclosed is an English translation of non-English publication(s) \_\_\_\_\_ cited in the attached Form PTO/SB/08A.
- ☐ Enclosed is a copy of pending patent Application Serial No. \_\_\_\_\_.

This Information Disclosure Statement is filed within any one of the following time periods:

- ☐ within three months from the filing date of this national application other than a CPA under 37 C.F.R. § 1.53(d);
- ☐ within three months from the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in this international application;
- ☐ before the mailing date of a first office action on the merits; or
- ☒ before the mailing of a first office action after the filing of a request for continued examination under 37 C.F.R. § 1.114.

The Commissioner is hereby authorized to charge payment of any fees required for the above-identified application or credit any overpayment to Deposit Account No. 09-0460.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

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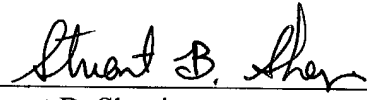
It is respectfully requested that the Examiner consider the above-noted information and return an initialed copy of the attached Form PTO/SB/08A to the undersigned.

Dated: 4/22/04

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Respectfully submitted by  
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Substitute for Form 1449 A &amp; B/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

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of

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Complete if Known

Application Number	09/489,570
Confirmation Number	5998
Filing Date	01/21/2000
First Named Inventor	Baer
Art Unit	2172
Examiner Name	Pham, Hung Q.
Attorney Docket Number	0920.0033C

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		
		US 5,737,599		04-07-1998	Edward R. ROWE, et al.
		US 5,909,688		06-01-1999	Makoto YOSHIOKA, et al.
		US 5,960,411		09-28-1999	Peri HARTMAN, et al.
		US 6,091,930		07-18-2000	J. Thomas MORTIMER, et al.
		US 6,182,082		01-30-2001	Hitoshi TANAKA, et al.
		US 6,331,865		12-18-2001	James SACHS, et al.
		US 6,529,889		03-04-2003	David BROMBERG, et al.
		US 6,544,294		04-08-2003	Stephen GREENFIELD, et al.
		US 6,592,628		07-15-2003	Timothy N. PRINZING
		US 6,594,646		07-15-2003	Nobuya OKAYAMA, et al.
		US 2003/0050916		03-13-2003	Ruben E. ORTEGA, et al.
		US 2003/0140315		07-24-2003	Robert Evans BLUMBERG, et al.

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## FOREIGN PATENT DOCUMENTS

Technology Center 2100

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>
		McGraw-Hill Primis Custom Publishing ( <a href="http://www.mhhe.com/primis">www.mhhe.com/primis</a> ), archived circa 1998, via the Wayback Machine ( <a href="http://www.archive.org">www.archive.org</a> ); <a href="http://web.archive.org/web/19980513002459/http://mhhe.com/primis/">http://web.archive.org/web/19980513002459/http://mhhe.com/primis/</a> .	
		Microsoft PowerPoint presentation presenting how to use Primis Online ( <a href="http://www.mhhe.com/primis/catalog/pcatalog/primisweb.ppt">http://www.mhhe.com/primis/catalog/pcatalog/primisweb.ppt</a> ).	

Examiner Signature

Date Considered

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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to indicate here if English language Translation is attached.